RESEARCH





Safety and efficacy of direct oral anticoagulants in stroke prevention in patients with atrial fibrillation complicated with anemia and/or thrombocytopenia: a retrospective cohort study

Wenlin Xu¹, Jiana Chen¹, Shuyi Wu¹, Nianxu Huang², Xia Chen³, Wang Zhang⁴, Wei Hu⁵, Jun Su⁶, Hengfen Dai⁷, Ping Gu⁸, Xiaohong Huang⁹, Xiaoming Du¹⁰, Ruijuan Li¹¹, Qiaowei Zheng¹², Xiangsheng Lin¹³, Yanxia Zhang¹⁴, Lang Zou¹⁵, Yuxin Liu¹⁶, Min Zhang¹⁷, Xiumei Liu¹⁸, Zhu Zhu¹⁹ and Jinhua Zhang^{1*}

Abstract

Background There are limited data about the clinical benefits and harm of direct oral anticoagulants (DOACs) in stroke prevention in patients with atrial fibrillation (AF) complicated with anemia or thrombocytopenia.

Methods This is a multi-center retrospective cohort study involving 5469 AF patients from 15 hospitals in China. Patients were divided into three groups according to hemoglobin and platelet levels: Group 1 (hemoglobin male \geq 130 g/L; female \geq 120 g/L and platelet \geq 100 \times 10⁹/L), Group 2 (hemoglobin male < 130 g/L; female < 120 g/L or platelet $< 100 \times 10^{9}$ /L), and Group 3 (hemoglobin male < 130 g/L; female < 120 g/L and platelet $< 100 \times 10^{9}$ /L). Patients in each category are further divided into two groups according to their stroke prevention strategies: rivaroxaban or dabigatran. Clinical results include major, minor, total bleeding, thrombosis, and the composite outcome of major bleeding and thrombosis.

Results Higher hemoglobin levels were associated with a reduced risk of total bleeding and major bleeding, while platelet counts were not associated with any event. Compared with Group 1, Group 2 had a higher risk of major bleeding (aOR 1.70, 95%Cl 1.12–2.57, P = 0.012), and the composite endpoint of major bleeding and thrombosis (aOR 1.70, 95%CI 1.19–2.44, P=0.004). Compared with Group 1, Group 3 had a higher total bleeding risk (aOR 2.15, 95%CI 1.14–4.05, P=0.018). Compared with dabigatran, rivaroxaban was associated with higher composite risk in Group 1 (aOR 2.91, 95% CI 1.66-5.16, P<0.001) and Group 2 (aOR 3.05, 95% CI 1.46-6.39, P=0.003), but there was no significant difference in Group 3 (aOR 1.78, 95%CI 0.23—13.54, P=0.577).

Conclusions Higher hemoglobin levels are associated with a reduced risk of total bleeding and major bleeding in patients with AF. Dabigatran was associated with better clinical outcomes than rivaroxaban in patients with anemia or thrombocytopenia but not in those with anemia and thrombocytopenia.

Keywords Anemia, Atrial fibrillation, Hemoglobin, DOACs, Platelet, Thrombocytopenia

*Correspondence: linhua Zhang pollyzhang2006@126.com Full list of author information is available at the end of the article



© The Author(s) 2023. Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated in a credit line to the data.

Introduction

Atrial fibrillation (AF) is a clinically common arrhythmia associated with increased morbidity and mortality as it increases the risk of stroke by 4 to 5-fold [1, 2]. Although CHA2DS2-VASc score (congestive heart failure, hypertension, age \geq 75 years, diabetes mellitus, previous stroke or transient ischemic attack [TIA], vascular disease, age 65-74 years, female) [3] and HAS-BLED score (hypertension, abnormal renal or liver function, stroke, bleeding history, unstable INR, age ≥ 65 years and antiplatelet drugs or alcohol use) [4] are widely used for risk stratification of thrombosis or bleeding in patients with nonvalvular AF(NVAF). However, other clinical features not included in these scores may be risk factors for adverse outcomes such as thrombosis and bleeding in NVAF patients (such as low body weight or body mass index (BMI) [4, 5], low creatinine clearance (CrCl) [6, 7], etc.). Anemia is common in patients with AF, which may be a sign of occult bleeding. Indeed, anemia has been identified as a strong predictor of bleeding in AF patients treated with anticoagulants [8-11]. Anemia is also associated with increased rates of thromboembolic events in certain populations [12–14], including patients with AF [15]. In addition, previous studies in patients with AF have also shown that abnormal hemoglobin and platelet counts may be associated with adverse events. However, current studies examining the association between hemoglobin and platelet levels and adverse outcomes have reported conflicting data [16–19].

Oral anticoagulation therapy is recommended for AF patients with stroke risk factors to reduce the risk of stroke or systemic embolism [20]. Although the effect of direct oral anticoagulants (DOACs) is no less than or even better than that of warfarin [21, 22], several major randomized controlled trials of DOACs exclude patients with hemoglobin < 10 g/dL or platelet count < 90–100 K/ μ L [23–26]. Two previous studies [27, 28] showed that the bleeding risk of DOACs was lower than that of warfarin in AF patients with hemoglobin < 10 g/dL or platelet count < $100 \times 10^3/\mu$ L, while there was no difference in the risk of ischemic stroke/systemic embolism or death, but there was no comparison among DOACs. The available data on the clinical benefits and harms of DOACs in high-risk populations with AF and anemia or thrombocytopenia remain limited, and physicians face a therapeutic dilemma when selecting DOACs for AF patients with anemia or thrombocytopenia. Therefore, this study aimed to assess the association of clinical event risk with hemoglobin levels and platelet counts in patients with AF. In addition, we studied the clinical outcomes of different DOACs in different hemoglobin and platelet stratification.

Methods

Study design

From January 2016 to December 2020, we conducted retrospective multi-center registrations at 15 centers in China (Supplemental Table 1). The distribution of hospitals is shown in Supplementary Figure 1. The Ethics Committee of Fujian Provincial Maternity and Children's Hospital approved the scheme (registration number: ChiCTR2300067734). Due to the retrospective nature of this study, the institutional review board waived the patient informed consent requirement. Patients included in this study must meet all of the following inclusion criteria: (1) Age \geq 18 years; (2) Patients diagnosed with AF; (3) DOACs therapy. Exclusion criteria were as follows: (1) patients with valvular AF (VAF); (2) Baseline data lacked hemoglobin and platelet counts. Since Apixaban has no AF indication in China, and Edoxaban was only launched in China in 2019, DOACs used in multi-center hospitals only include Rivaroxaban and Dabigatran.

After meeting the inclusion criteria, 5469 NVAF patients treated with DOACs were eligible for this study. Patients were divided into three groups based on their hemoglobin levels and platelet counts: Group 1 (hemoglobin male ≥ 130 g/L; female ≥ 120 g/L and platelet $\geq 100 \times 10^{9}$ /L), Group 2 (hemoglobin male <130 g/L; female <120 g/L or platelet <100 $\times 10^{9}$ /L), and Group 3 (hemoglobin male <130 g/L; female <120 g/L and platelet <100 $\times 10^{9}$ /L). Anemia was defined according to the World Health Organization criteria as hemoglobin concentration <130 g/L for men and <120 g/L for women [29]. Each group was further graded according to the type of DOACs, namely rivaroxaban or dabigatran.

Data collection

We collected demographic data through hospital information systems and obtained clinical events through follow-ups of patients or relatives. We collected basic data such as age, sex, height, and weight. At the same time, we collected information on comorbidities such as hypertension, diabetes, coronary heart disease, and heart failure and biochemical indicators such as alanine aminotransferase (ALT), creatinine, platelet count, and hemoglobin. Based on the patient's clinical data, We performed CHA2DS2-VASc score [3] (congestive heart failure, hypertension, age \geq 75 years, diabetes mellitus, previous stroke or TIA, vascular disease, age 65–74 years, female) and HAS-BLED score [4] (hypertension, abnormal renal or liver function, stroke, bleeding history, unstable INR, age \geq 65 years and antiplatelet drugs or alcohol use).

Study outcomes

The safety outcomes of this study were total bleeding, major bleeding, and minor bleeding. The effective outcome was a thrombotic event. Total bleeding includes all bleeding events, including major and minor bleeding. The International Society for Thrombosis and Hemostasis (ISTH) defines major bleeding as occurring in critical organs (intracranial, spinal, intraocular, retroperitoneal, intraarticular or pericardial, intramuscular compartment syndrome) or a decrease in hemoglobin levels of at least 2 g/dl or a transfusion of at least 2 units of red blood cells [30]. Minor bleeding events were defined as bleeding that did not meet the criteria for major or clinically significant bleeding. Thromboembolic events include ischemic stroke and systemic embolism. Systemic embolism is defined as acute vascular occlusion of a limb or organ documented by imaging, surgery, or autopsy [23].

Statistical analysis

Continuous variables are expressed as mean and standard deviation (SD) or median and interquartile distance [IQR], while discrete variables are expressed as quantity and percentage. For comparison among the three groups, unpaired two-tailed T-tests or one-way analysis of variance (ANOVA) were used to assess differences between successive values. Differences between nominal variables were compared by Chi-square test. Logistic regression analysis was used to compare the risk of clinical events in different hemoglobin levels and platelet count groups and adjusted according to age; sex; CHA2DS2-VASc score (congestive heart failure, hypertension, age \geq 75 years, diabetes mellitus, previous stroke or TIA, vascular disease, age 65–74 years, female); HAS-BLED score (hypertension, abnormal renal or liver function, stroke, bleeding history, unstable INR, age \geq 65 years and antiplatelet drugs or alcohol use); CrCl and DOACs. Secondly, the incidence of clinical events in patients with different DOACs was compared and adjusted according to the age, gender, CHA2DS2-VASc score, HAS-BLED score, and CrCl of different groups. *P* value < 0.05 is considered statistically significant. All statistical analysis was done using SPSS software version 25.0 (IBM Corporation, Armonk, NY, USA).

Results

A total of 5469 patients with NVAF (Mean age 64.6 ± 12.1 ; 42.1% female) were enrolled in this study, including 3804 patients in Group 1 (Mean age 62.9 ± 11.9 ; 39.9% female), 1544 patients in Group 2 (Mean age 68.7 ± 11.7 ; 47.0% female), and 121 patients in Group 3 (Mean age 69.4 ± 10.6 ; 47.1% female). The proportions of different DOACs dosage groups are shown in Fig. 1. Table 1 shows baseline information for patients. Overall, Groups 2 and 3 had lower CrCl and more complications than Group 1 (all *P* values < 0.001).



Fig. 1 A flowchart of patient enrollment

	All patients (n = 5469)	Group 1 (n=3804)	Group 2 (n=1544)	Group 3 (n = 121)	P Value
Baseline characteristics					
Age (y), mean (SD)	64.6±12.1	62.9±11.9	68.7±11.7	69.4±10.6	< 0.001
Female, n (%)	2300 (42.1)	1517 (39.9)	726 (47.0)	57 (47.1)	< 0.001
Body weight(kg), mean (SD)	66.1±12.2	67.7±12.2	62.7±11.5	58.9±11.6	< 0.001
CHA2DS2-VASc score, mean (SD)	2.2 ± 1.6	2.0 ± 1.5	2.7 ± 1.6	2.3 ± 1.4	< 0.001
HAS-BLED score, mean (SD)	1.4 ± 1.0	1.3 ± 1.0	1.6±1.0	1.5±1.0	< 0.001
Past medical history, n (%)					
COPD	109 (2.0)	53 (1.4)	52 (3.4)	4 (3.3)	< 0.001
Coronary heart disease	476 (8.7)	262 (6.9)	199 (12.9)	15 (12.4)	< 0.001
Congestive heart failure	278 (5.1)	141 (3.7)	122 (7.9)	15 (12.4)	< 0.001
Chronic kidney disease	338 (6.2)	195 (5.1)	132 (8.5)	11 (9.1)	< 0.001
Hypertension	2988 (54.6)	2016 (53.0)	921 (59.7)	51 (42.1)	< 0.001
Diabetes mellitus	1032 (18.9)	687 (18.1)	326 (21.1)	19 (15.7)	0.023
Previous stroke	205 (3.7)	104 (2.7)	97 (6.3)	4 (3.3)	< 0.001
Gout	94 (1.7)	47 (1.2)	44 (2.8)	3 (2.5)	< 0.001
Malignancy	77 (1.4)	35 (0.9)	37 (2.4)	5 (4.1)	< 0.001
Baseline laboratory data, mean(SD)					
ALT, IU/L	27.3 ± 40.0	27.7±38.6	26.2 ± 43.7	27.6 ± 32.9	0.488
CrCl, mL/min	74.3±30.7	78.5±30.4	65.0±29.1	59.4 ± 28.5	< 0.001
Baseline medications, n (%)					
Dabigatran	2548 (46.6)	1861 (48.9)	638 (41.3)	49 (40.5)	< 0.001
110 mg/bid	2469 (45.1)	1796 (47.2)	624 (40.4)	49 (40.5)	< 0.001
150 mg/bid	79 (1.4)	65 (1.7)	14 (0.9)	0 (0)	0.034
Rivaroxaban	2921 (53.4)	1943 (51.1)	906 (58.7)	72 (59.5)	< 0.001
10 mg/qd	790 (14.4)	427 (11.2)	334 (21.6)	29 (24.0)	< 0.001
15 mg/qd	1986 (36.3)	1407 (37.0)	540 (35.0)	39 (32.2)	0.245
20 mg/qd	145 (2.7)	109 (2.9)	32 (2.1)	4 (3.3)	0.237
ACEI/ARB	2380 (43.5)	1659 (43.6)	677 (43.8)	44 (36.4)	0.272
Amiodarone	962 (17.6)	714 (18.8)	229 (14.8)	19 (15.7)	0.002
Beta-blocker	3484 (63.7)	2449 (64.4)	958 (62.0)	77 (63.6)	0.275
CCB	1641 (30.0)	1152 (30.3)	456 (29.5)	33 (27.3)	0.693
Diltiazem	768 (14.0)	529 (13.9)	224 (14.5)	15 (12.4)	0.738
Digoxin	570 (10.4)	373 (9.8)	187 (12.1)	10 (8.3)	0.032
Statins	2729 (49.9)	1872 (49.2)	789 (51.1)	68 (56.2)	0.171

Table 1 Baseline characteristics of patients with atrial fibrillation stratified by different hemoglobin and platelet levels

Association among hemoglobin levels, platelet counts, and clinical events

The median (IQR) of hemoglobin level and platelet count in the whole study population were 134.4(123.0-146.2)g/L and $179.9(143.8-221.2) \times 10^9$ /L, respectively. Higher hemoglobin levels (per 1 unit increase) were significantly associated with a reduced risk of total bleeding (adjusted odds ratio [aOR] 0.991, 95%CI 0.985-0.998, P=0.010) and major bleeding (aOR 0.978, 95%CI 0.968-0.989, P < 0.001), but not thrombosis (aOR 0.988, 95%CI 0.969-1.008, P=0.228). Unlike hemoglobin, platelet levels were not associated with total bleeding (aOR 1.001, 95%CI 0.999-1.003,

P=0.363), major bleeding (aOR 1.002, 95%CI 0.999–1.005, P=0.203), or thrombosis (aOR 0.998, 95%CI 0.993–1.004, P=0.564).

Risks of clinical events in different groups

Figures 2 and 3 show the risks of clinical events in different groups. Compared with Group 1, Group 2 had a higher risk of major bleeding (aOR 1.70, 95%CI 1.12–2.57, P=0.012) and composite endpoint of major bleeding and thrombosis (aOR 1.70, 95%CI 1.19–2.44, P=0.004) (Fig. 2). Compared with Group 1, the total bleeding risk of Group 3 (aOR 2.15, 95%CI 1.14–4.05, P=0.018) was higher (Fig. 3).



Fig. 2 Risks of clinical events of Group 1 versus Group 2



Fig. 3 Risks of clinical events of Group 1 versus Group 3

Dabigatran versus rivaroxaban

Compared with dabigatran, rivaroxaban was associated with a higher risk of total bleeding in Group 1(aOR 1.50, 95%CI 1.11-2.03, P=0.008) and Group 2(aOR 2.48, 95%CI 1.48–4.15, P=0.001), but not in Group 3 (aOR 1.39, 95%CI 0.36–5.39, *P*=0.630). Compared with dabigatran, rivaroxaban was associated with a higher risk of major bleeding in Group 1(aOR 4.93, 95%CI 2.20-11.04, P<0.001) and Group 2(aOR 4.13, 95%CI 1.58-10.78, P=0.004), but not in Group 3 (aOR 1.21, 95%CI 0.12-11.88, P=0.868). Compared with dabigatran, rivaroxaban was associated with a higher composite endpoint risk of major bleeding and thrombosis in Group 1 (aOR 2.91, 95%CI 1.66-5.16, P<0.001) and Group 2 (aOR 3.05, 95%CI 1.46–6.39, P=0.003), but not in Group 3 (aOR 1.78, 95%CI 0.23–13.54, *P*=0.577) (Fig. 4).

We compared dabigatran and rivaroxaban for subgroup patients with either anemia (n=1390) or thrombocytopenia (n=154) among Group 2. As Fig. 5 shows, the use of rivaroxaban was consistently associated with a higher risk of total bleeding (aOR 2.47, 95%CI 1.45–4.20, P < 0.001), major bleeding (aOR 4.13, 95%CI 1.58–10.83, P = 0.004), and composite risk of major bleeding or thrombosis in subgroups with anemia (aOR 3.37, 95%CI 1.55–7.36, P = 0.002).

Discussion

In this study, we investigated the association of clinical event risk with hemoglobin levels and platelet counts in patients with AF and the clinical outcomes of different DOACs in different hemoglobin and platelet stratification. Our main findings are as follows: 1) Higher hemoglobin level is related to the reduced risk of total bleeding and major bleeding, while platelet count is not related to any events; 2) Patients with anemia (hemoglobin male < 130 g/L; female < 120 g/L) or thrombocytopenia (platelet count < 100×10^9 /L) are at high risk, and the risk of major bleeding, composite risk of major bleeding or thrombosis is higher; 3) Compared with dabigatran, rivaroxaban was associated with a higher risk of total bleeding, major bleeding, and major bleeding or

	Dabigatran	Rivaroxab	an		
	aOR(95%CI)	P value			
Total bleeding					
Group 1	193(10.4)	298(15.4)	⊢ ⊷-1	1.50(1.11-2.03)	0.008
Group 2	69 (10.7)	202(22.4)		2.48(1.48-4.15)	0.001
Group 3	11 (22.4)	19 (26.5)	·	1.39(0.36-5.39)	0.630
Major bleeding					
Group 1	19 (1.0)	105 (5.4)	↓ →	4.93(2.20-11.04)	< 0.001
Group 2	21 (3.3)	108(11.9)	⊢ −− −	4.13(1.58-10.78)	0.004
Group 3	2 (4.8)	8 (11.1)	·	1.21(0.12-11.88)	0.868
Minor bleeding					
Group 1	174 (9.4)	193(10.0)	⊢ •	1.08(0.77-1.50)	0.670
Group 2	48 (7.4)	94 (10.4)	·	1.55(0.85-2.85)	0.156
Group 3	9 (19.0)	11 (14.7)	۰ <u>۰</u> ٬	0.03(0.13-3.15)	0.570
Thrombosis					
Group 1	21 (1.1)	30 (1.5)	⊢	1.36(0.58-3.19)	0.485
Group 2	11 (1.7)	22 (2.4)	⊢	1.40(0.42-4.65)	0.580
Group 3	0 (0)	2 (2.8)	· · · · · · · · · · · · · · · · · · ·	0.63(0.03-13.34)	0.764
Major bleeding or thrombosis					
Group 1	40 (2.1)	135 (6.9)	·	2.91(1.66-5.16)	< 0.001
Group 2	32 (4.9)	130(14.4)	·	3.05(1.46-6.39)	0.003
Group 3	2 (4.8)	10 (13.9)	· · · · · · · · · · · · · · · · · · ·	1.78(0.23-13.54)	0.577
				10	
			Favor rivaroxaban Favor dabigatra	an	

Fig. 4 Risks of clinical events of rivaroxaban versus dabigatran treatment in 3 groups



Fig. 5 Risks of clinical events of rivaroxaban versus dabigatran in subgroups of group 2

thrombosis in AF patients with anemia or thrombocytopenia, regardless of other factors that may affect the clinical outcome, such as dosage and complications; 4) Compared with rivaroxaban, dabigatran has no significant correlation with better clinical results in patients with anemia and thrombocytopenia.

Relationship between hemoglobin level or platelet count and clinical outcomes

Previous reports have shown that anemia is associated with an increased risk of bleeding [15, 16, 31], so anemia is included in most bleeding risk scores [8]. In the current study, we also observed that the increase in hemoglobin level would reduce the risk of total bleeding and major bleeding. On the contrary, much less evidence exists about the association between anemia and stroke/ systemic embolism. Kodani et al. [18] studied 6536 Japanese patients with AF and found that hemoglobin levels were not associated with thrombosis. Similar to the study by Kodani et al., our study also showed that hemoglobin levels were not associated with thrombosis. However, another analysis of a large cohort of AF patients reported that higher hemoglobin levels were associated with a higher risk of ischemic stroke/systemic embolism [16]. Although an association between anemia and stroke risk cannot be excluded, our analysis suggests that anemia is more strongly associated with bleeding than stroke.

Evidence shows that the association between anemia and low platelet function is related to decreased platelet marginalization tendency [32]. Platelets play an essential role in primary aggregation. Therefore, platelet activity is related to the incidence of thrombosis and hemorrhagic events. It is reported that the platelet size measured by the average platelet volume is related to the incidence of stroke [33, 34] or coronary artery disease [35], and the platelet count showing bone marrow platelet production is also related to the occurrence of stroke or bleeding events [he Effect of Hematocrit on Platelet Adhesion: Experiments and Simulations]. There are few data about the role of platelet count as a prognostic predictor of AF patients, and there are conflicting results. The research results of Yeh et al. [16] and Park et al. [19] show that a lower platelet count is associated with a lower risk of stroke and a higher risk of bleeding events. However, Kodani et al. [18] reported that platelet levels did not affect the outcome of thrombosis or bleeding in AF patients. Similar to the study by Kodani et al., our study also showed that platelet levels were not associated with thrombosis or bleeding. Higher rates of OAC use and lower rates of cumulative stroke/bleeding events in our study and Kodani et al. compared to those in Park et al. and Yeh et al. may account for the present results.

DOACs in patients with anemia or thrombocytopenia

The underuse of OACs for stroke prevention is a common problem in the Asia–Pacific region [36]. Compared with Western populations, Asian AF patients have a smaller body size and a higher risk of bleeding, such as intracranial hemorrhage [37]. Concerns about bleeding may further prevent using OACs in patients with anemia or thrombocytopenia. Therefore, the selection of appropriate OACs is crucial for AF patients. Because a significant proportion of AF patients with anemia or thrombocytopenia do not qualify for the four major clinical trials of DOACs [23-26], the choice of anticoagulants in this population has been confusing. Wang and colleagues separately compared the safety and efficacy of DOACs with warfarin in AF patients with anemia or thrombocytopenia [27, 28]. They showed that DOACs were associated with a lower risk of bleeding than warfarin in AF patients with hemoglobin < 10 g/dL or platelet counts < $100 \times 10^3/\mu$ L. However, there was no difference in risk for ischemic stroke/systemic embolism or death. Our study further found that dabigatran was associated with a lower total bleeding risk and composite risk of major bleeding or thrombosis compared with rivaroxaban, and dabigatran is a reasonable choice for stroke prevention in AF patients with anemia or thrombocytopenia. These findings fill the knowledge gap about the safety and effectiveness of DOACs in stroke prevention in AF patients with anemia or thrombocytopenia.

Good compliance with OACs is essential to realize the prognostic benefits of anticoagulation. Considering the short half-life of DOACs, the clinical consequences of non-adherence may be more profound [38]. However, long-term non-adherence to DOAC treatment is very serious in China. The results of a cohort study show that only 35% of the patients in Guangzhou, where the economic level of China is relatively high, continue to receive DOAC treatment for more than one year [39]. Because of the increased risk of bleeding in patients with AF complicated with anemia or thrombocytopenia, anticoagulation therapy is more likely to be interrupted, and they need more extensive follow-up when receiving anticoagulation therapy. In this cohort, 33.4% of patients were complicated with anemia and/ or thrombocytopenia before starting oral anticoagulant therapy. Before starting DOAC treatment, these patients should carefully assess their bleeding risk, investigate their bleeding history and clinical conditions that may lead to bleeding (such as peptic ulcer disease, impaired renal function, or liver function), and correct them reversibly. Drugs that may increase the risk of major bleeding (such as non-steroidal antiinflammatory drugs or antiplatelet drugs) should be avoided or used after weighing the advantages and disadvantages.

Strengths and limits

Our study's strengths include using a large, real-world population sample of 15 hospitals from different provinces in China, covering most of China, making our findings somewhat representative. We also obtained extensive laboratory data, including data on baseline hemoglobin, platelet count, renal function, and liver function that were available before oral anticoagulation initiation and generally unavailable in administrative registries. To our knowledge, this is the only study comparing different DOACs for stroke prevention in AF patients with anemia or thrombocytopenia.

The current study also has some limitations. First, in our multicenter cohort, 2293 AF patients without hemoglobin or platelet data were excluded from the analysis. Whether the study sample can be representative of the population as a whole remains uncertain; therefore, some bias may be present. Second, the three groups' baseline characteristics differed due to the study's retrospective nature. Although statistical adjustments were made for several important parameters that could influence clinical outcomes, we cannot rule out the possibility of bias by unmeasured confounders. Finally, the number of patients in group 3 was small. The analysis in this group may not have been sufficiently robust, limiting the generalizations of the results, and further studies in this population are warranted.

Conclusion

Higher hemoglobin levels are associated with a reduced risk of total bleeding and major bleeding in patients with AF. Compared with AF patients, AF patients with anemia or thrombocytopenia have a higher risk of major bleeding, a composite endpoint of major bleeding and thrombosis. Dabigatran was associated with better clinical outcomes than rivaroxaban in patients with anemia or thrombocytopenia but not in those with anemia and thrombocytopenia.

Supplementary Information

The online version contains supplementary material available at https://doi. org/10.1186/s12959-023-00563-7.

Additional file 1: Supplemental Table 1. List of 15 multi-center hospitals. Supplemental Figure 1. Sub-center Distribution Map.

Acknowledgements

All the authors are grateful to Meina Lv, Shaojun Jiang and Tingting Wu for their contribution to the data collation.

Authors' contributions

JZ initiated the study. NH, XC, WZ, WH, JS, HD, PG, XH, XD, RL, QZ, XL,YZ, LZ, YL, MZ, XL and ZZ collected and entered the data. WX performed data extraction and analyses. WX drafted the first version of the manuscript. WX, JC, and SW critically reviewed the manuscript and revised it. JC and SW worked on data validation. All authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

Funding

Not applicable.

Availability of data and materials

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Declarations

Ethics approval and consent to participate

The study complies with the Declaration of Helsinki and was authorized by The Ethics Committee of Fujian Provincial Maternity and Children's Hospital (registration number: ChiCTR2300067734).

Consent for publication

The requirement for patient consent was waived due to its retrospective nature.

Competing interests

The authors declare no competing interests.

Author details

¹Department of Pharmacy, Fujian Maternity and Child Health Hospital College of Clinical Medicine for Obstetrics & Gynecology and Pediatrics, Fujian Medical University, #18 Daoshan Road, Fuzhou 350001, China. ²Department of Pharmacy, Taikang Tongji (Wuhan) Hospital, Wuhan 430000, China. ³Chendu Qingbaijiang Maternal & Child Health Care Hospital, Chengdu, China. ⁴Department of Pharmacy, The First People's Hospital of Changde City, Changde, Hunan 415000, China. ⁵Department of Pharmacy, Xinyang Central Hospital, Xinyang Hospital Affiliated to Zhengzhou University, Xinyang, Henan 464000, China. ⁶Department of Pharmacy, The First Affiliated Hospital of Bengbu Medical College, Bengbu, Anhui 233004, China. ⁷Department of Pharmacy, Affiliated Fuzhou First Hospital of Fujian Medical University, Fuzhou, Fujian 350009, China. ⁸Department of Pharmacy, Suining Central Hospital, Suining, Sichuan 629000, China. ⁹Department of Pharmacy, Zhangzhou Affiliated Hospital of Fujian Medical University, Zhangzhou, Fujian 363000, China. ¹⁰Department of Pharmacy, Shengjing Hospital of China Medical University, Shenyang 110004, China. ¹¹Department of Pharmacy, Shanxi Bethune Hospital, Shanxi Academy of Medical Sciences, Tongji Shanxi Hospital, Third Hospital of Shanxi Medical University, Taiyuan, Shanxi 030032, China. ¹²Department of Pharmacy, First Affiliated Hospital of Xi'an Jiaotong University, Xi'an, Shaanxi 710061, China. ¹³Department of Pharmacy, Pingtan County General Laboratory Area Hospital, Fuzhou, Fujian 350400, China. ¹⁴Department of Pharmacy, The First Affiliated Hospital of Jiamusi University, Jiamusi, Heilongjiang 154002, China. ¹⁵Department of Pharmacy, Second Affiliated Hospital, Army Medical University, Chongqing 400037, China. ¹⁶Department of Pharmacy, Huaihe Hospital of Henan University, Kaifeng, Henan 475000, China. ¹⁷Department of Pharmacy, Affiliated Qingdao Third People's Hospital, Qingdao University, Qingdao, Shandong 266041, China.¹⁸Department of Pharmacy, People's Hospital of He'nan University of Chinese Medicine (People's Hospital of Zhengzhou), Zhengzhou, China.¹⁹Department of Pharmacy, The Second Affiliated Hospital of Soochow University, Suzhou, Jiangsu 215004, China.

Received: 27 June 2023 Accepted: 13 November 2023 Published online: 21 November 2023

References

- Nattel S, Guasch E, Savelieva I, et al. Early management of atrial fibrillation to prevent cardiovascular complications. Eur Heart J. 2014;35(22):1448– 56. https://doi.org/10.1093/eurheartj/ehu028.
- January CT, Wann LS, Alpert JS, et al. 2014 AHA/ACC/HRS guideline for the management of patients with atrial fibrillation: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines and the Heart Rhythm Society. J Am Coll Cardiol. 2014;64(21):e1–76. https://doi.org/10.1016/j.jacc.2014.03.022.
- Lip GY, Nieuwlaat R, Pisters R, Lane DA, Crijns HJ. Refining clinical risk stratification for predicting stroke and thromboembolism in atrial fibrillation using a novel risk factor-based approach: the euro heart survey on atrial fibrillation. Chest. 2010;137(2):263–72. https://doi.org/10.1378/ chest.09-1584.

- Pisters R, Lane DA, Nieuwlaat R, de Vos CB, Crijns HJ, Lip GY. A novel user-friendly score (HAS-BLED) to assess 1-year risk of major bleeding in patients with atrial fibrillation: the Euro Heart Survey. Chest. 2010;138(5):1093–100. https://doi.org/10.1378/chest.10-0134.
- Hamatani Y, Ogawa H, Uozumi R, et al. Low body weight is associated with the incidence of stroke in atrial fibrillation patients - insight from the Fushimi AF Registry. Circ J. 2015;79(5):1009–17. https://doi.org/10.1253/ circj.CJ-14-1245.
- Kodani E, Atarashi H, Inoue H, et al. Impact of creatinine clearance on outcomes in patients with non-valvular atrial fibrillation: a subanalysis of the J-RHYTHM Registry. Eur Heart J Qual Care Clin Outcomes. 2018;4(1):59– 68. https://doi.org/10.1093/ehjqcco/qcx032.
- Abe M, Ogawa H, Ishii M, et al. Relation of stroke and major bleeding to creatinine clearance in patients with atrial fibrillation (from the Fushimi AF Registry). Am J Cardiol. 2017;119(8):1229–37. https://doi.org/10.1016/j. amjcard.2017.01.005.
- Apostolakis S, Lane DA, Guo Y, Buller H, Lip GY. Performance of the HEMORR(2)HAGES, ATRIA, and HAS-BLED bleeding risk-prediction scores in patients with atrial fibrillation undergoing anticoagulation: the AMADEUS (evaluating the use of SR34006 compared to warfarin or acenocoumarol in patients with atrial fibrillation) study. J Am Coll Cardiol. 2012;60(9):861–7. https://doi.org/10.1016/j.jacc.2012.06.019.
- Fang MC, Go AS, Chang Y, et al. A new risk scheme to predict warfarinassociated hemorrhage: the ATRIA (Anticoagulation and Risk Factors in Atrial Fibrillation) study. J Am Coll Cardiol. 2011;58(4):395–401. https://doi. org/10.1016/j.jacc.2011.03.031.
- O'Brien EC, Simon DN, Thomas LE, et al. The ORBIT bleeding score: a simple bedside score to assess bleeding risk in atrial fibrillation. Eur Heart J. 2015;36(46):3258–64. https://doi.org/10.1093/eurheartj/ehv476.
- Goodman SG, Wojdyla DM, Piccini JP, et al. Factors associated with major bleeding events: insights from the ROCKET AF trial (rivaroxaban oncedaily oral direct factor Xa inhibition compared with vitamin K antagonism for prevention of stroke and embolism trial in atrial fibrillation). J Am Coll Cardiol. 2014;63(9):891–900. https://doi.org/10.1016/j.jacc.2013.11.013.
- 12. Westenbrink BD, Kleijn L, de Boer RA, et al. Sustained postoperative anaemia is associated with an impaired outcome after coronary artery bypass graft surgery: insights from the IMAGINE trial. Heart. 2011;97(19):1590–6. https://doi.org/10.1136/heartjnl-2011-300118.
- Reinecke H, Trey T, Wellmann J, et al. Haemoglobin-related mortality in patients undergoing percutaneous coronary interventions. Eur Heart J. 2003;24(23):2142–50. https://doi.org/10.1016/j.ehj.2003.09.008.
- Sabatine MS, Morrow DA, Giugliano RP, et al. Association of hemoglobin levels with clinical outcomes in acute coronary syndromes. Circulation. 2005;111(16):2042–9. https://doi.org/10.1161/01.CIR.00001 62477.70955.5F.
- Westenbrink BD, Alings M, Connolly SJ, et al. Anemia predicts thromboembolic events, bleeding complications and mortality in patients with atrial fibrillation: insights from the RE-LY trial. J Thromb Haemost. 2015;13(5):699–707. https://doi.org/10.1111/jth.12874.
- Yeh YH, Chan YH, Chen SW, et al. Oral anticoagulant use for patients with atrial fibrillation with concomitant anemia and/or thrombocytopenia. Am J Med. 2022;135(8):e248–56. https://doi.org/10.1016/j.amjmed.2022. 03.011.
- Bonde AN, Blanche P, Staerk L, et al. Oral anticoagulation among atrial fibrillation patients with anaemia: an observational cohort study. Eur Heart J. 2019;40(46):3782–90. https://doi.org/10.1093/eurheartj/ehz155.
- Kodani E, Inoue H, Atarashi H, et al. Impact of hemoglobin concentration and platelet count on outcomes of patients with non-valvular atrial fibrillation: a subanalysis of the J-RHYTHM Registry. Int J Cardiol. 2020;302:81– 7. https://doi.org/10.1016/j.ijcard.2019.11.127.
- Park J, Cha MJ, Choi YJ, et al. Prognostic efficacy of platelet count in patients with nonvalvular atrial fibrillation. Heart Rhythm. 2019;16(2):197– 203. https://doi.org/10.1016/j.hrthm.2018.08.023.
- Members AF, Kirchhof P, Benussi S, et al. 2016 ESC Guidelines for the management of atrial fibrillation developed in collaboration with EACTS:

the task force for the management of atrial fibrillation of the European Society of Cardiology (ESC) developed with the special contribution of the European Heart Rhythm Association (EHRA) of the ESCEndorsed by the European Stroke Organisation (ESO). Eur Heart J. 2016;74(12):1359.

- Ruff CT, Giugliano RP, Braunwald E, et al. Comparison of the efficacy and safety of new oral anticoagulants with warfarin in patients with atrial fibrillation: a meta-analysis of randomised trials. Lancet. 2014;383(9921):955–62. https://doi.org/10.1016/S0140-6736(13)62343-0.
- Xu W, Lv M, Wu S, et al. Severe bleeding risk of direct oral anticoagulants versus vitamin k antagonists for stroke prevention and treatment in patients with atrial fibrillation: a systematic review and network metaanalysis. Cardiovasc Drugs Ther. 2023;37(2):363–77. https://doi.org/10. 1007/s10557-021-07232-9.
- Patel MR, Mahaffey KW, Garg J, et al. Rivaroxaban versus warfarin in nonvalvular atrial fibrillation. N Engl J Med. 2011;365(10):883–91. https:// doi.org/10.1056/NEJMoa1009638.
- Connolly SJ, Ezekowitz MD, Yusuf S, et al. Dabigatran versus warfarin in patients with atrial fibrillation. N Engl J Med. 2009;361(12):1139–51. https://doi.org/10.1056/NEJMoa0905561.
- Granger CB, Alexander JH, McMurray JJ, et al. Apixaban versus warfarin in patients with atrial fibrillation. N Engl J Med. 2011;365(11):981–92. https:// doi.org/10.1056/NEJMoa1107039.
- Giugliano RP, Ruff CT, Braunwald E, et al. Edoxaban versus warfarin in patients with atrial fibrillation. N Engl J Med. 2013;369(22):2093–104. https://doi.org/10.1056/NEJMoa1310907.
- 27. Wang CL, Wu VC, Huang YT, et al. Safety and effectiveness of non-vitamin k antagonist oral anticoagulants for stroke prevention in patients with atrial fibrillation and anemia: a retrospective cohort study. J Am Heart Assoc. 2019;8(9):e012029. https://doi.org/10.1161/JAHA.119.012029.
- Wang CL, Wu VC, Lee CH, et al. Effectiveness and safety of non-vitamin-K antagonist oral anticoagulants versus warfarin in atrial fibrillation patients with thrombocytopenia. J Thromb Thrombolysis. 2019;47(4):512–9. https://doi.org/10.1007/s11239-018-1792-1.
- 29. Nutritional anaemias. Report of a WHO scientific group. World Health Organ Tech Rep Ser. 1968;405:5–37.
- Schulman S, Kearon C, Subcommittee on Control of Anticoagulation of the Scientific and Standardization Committee of the International Society on Thrombosis and Haemostasis. Definition of major bleeding in clinical investigations of antihemostatic medicinal products in non-surgical patients. J Thromb Haemost. 2005;3(4):692–4. https://doi.org/10.1111/j. 1538-7836.2005.01204.x.
- Westenbrink BD, Alings M, Granger CB, et al. Anemia is associated with bleeding and mortality, but not stroke, in patients with atrial fibrillation: Insights from the Apixaban for Reduction in Stroke and Other Thromboembolic Events in Atrial Fibrillation (ARISTOTLE) trial. Am Heart J. 2017;185:140–9. https://doi.org/10.1016/j.ahj.2016.12.008.
- Spann AP, Campbell JE, Fitzgibbon SR, et al. The effect of hematocrit on platelet adhesion: experiments and simulations. Biophys J. 2016;111(3):577–88. https://doi.org/10.1016/j.bpj.2016.06.024.
- Mayda-Domaç F, Misirli H, Yilmaz M. Prognostic role of mean platelet volume and platelet count in ischemic and hemorrhagic stroke. J Stroke Cerebrovasc Dis. 2010;19(1):66–72. https://doi.org/10.1016/j.jstrokecer ebrovasdis.2009.03.003.
- 34. Du J, Wang Q, He B, et al. Association of mean platelet volume and platelet count with the development and prognosis of ischemic and hemorrhagic stroke. Int J Lab Hematol. 2016;38(3):233–9. https://doi.org/ 10.1111/jjlh.12474.
- 35 Sansanayudh N, Anothaisintawee T, Muntham D, McEvoy M, Attia J, Thakkinstian A. Mean platelet volume and coronary artery disease: a systematic review and meta-analysis. 2014 Dec 20;177(3):1145. Int J Cardiol. 2014;175(3):433–40. https://doi.org/10.1016/j.ijcard.2014.06.028.
- Chao TF, Joung B, Takahashi Y, et al. 2021 Focused update of the 2017 consensus guidelines of the Asia Pacific Heart Rhythm Society (APHRS) on stroke prevention in atrial fibrillation. J Arrhythm. 2021;37(6):1389–426. https://doi.org/10.1002/joa3.12652. Published 2021 Nov 13.
- Chao TF, Chen SA, Ruff CT, et al. Clinical outcomes, edoxaban concentration, and anti-factor Xa activity of Asian patients with atrial fibrillation compared with non-Asians in the ENGAGE AF-TIMI 48 trial. Eur Heart J. 2019;40(19):1518–27. https://doi.org/10.1093/eurheartj/ehy807.

- Aronis KN, Hylek EM. evidence gaps in the era of non-vitamin K oral anticoagulants. J Am Heart Assoc. 2018;7(3):e007338. https://doi.org/10. 1161/JAHA.117.007338.
- Wu S, Xie S, Xu Y, et al. Persistence and outcomes of non-vitamin K antagonist oral anticoagulants versus warfarin in patients with nonvalvular atrial fibrillation. J Clin Nurs. 2019;28(9–10):1839–46. https://doi. org/10.1111/jocn.14797.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

